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PATENT COOPERATION TREATY

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. Ę	rom the NTERNATIONAL SEARCHING AUTH	IORITY			
	TO: ALBERT WAI-KIT CHAN LAW OFFICES OF ALBERT WAI-KIT CHAN, LLC WORLD PLAZA, SUITE 604 141-07 20TH AVENUE WHITESTONE, NY 11357		WF INTERNATIO	PCT UTTEN OPINION OF THE DNAL SEARCHING AUTHORITY (PCT Rule 43bis.1)	
İ			Date of mailing (day/month/year)	1 4 APR 2005	
	Applicant's or agent's file reference		FOR FURTHER ACTION See paragraph 2 below		
	639-C-PCT International application No.	International filing date	(day/month/year)	Priority date (day/month/year)	
				16 July 2003 (16.07.2003)	
PCT/US04/23099 16 July 2004 (16.07.2004) International Patent Classification (IPC) or both national classification and IPC		tion and IPC			
	IPC(7): C08B and US CI.: 536/056				
	Applicant				
	SLOAN-KETTERING INSTITUTE FO	R CANCER RESEARCH			
1	1. This opinion contains indications relating to the following items:				
	Box No. I Basis of the	Basis of the opinion			
	Box No. II Priority				
	Box No. III Non-estab	dishment of opinion with r	egard to novelty, inv	entive step and industrial applicability	
		Lack of unity of invention			
.~~	Box No. V Reasoned applicabil	Reasoned statement under Rule 43 bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			
المان المنتمار	Box No. VI Certain de	ocuments cited			
	Box No. VII Certain de	efects in the international a	pplication		
	Box No. VIII Certain of	bservations on the internsti	onal application		
	2 FURTHER ACTION				
		ing Authority ("IPBA") -	n IPEA has potified	By be considered to be a written opinion of the sonot apply where the applicant chooses and the International Bureau under Rule 66.1bis(b) dered.	
	IPEA a written reply together, who of Form PCT/ISA/220 or before the	ere appropriate, with amer re expiration of 22 months	mmenis, octore inc i	IPEA, the applicant is invited to submit to the expiration of 3 months from the date of mailing a, whichever expites later.	
	For further options, see Form PCT	/ISA/220.			
	3. For further details, see notes to For	rm PCT/ISA/220.			
	Name and mailing address of the ISA/ Mail Stop PCT, Atm: ISA/US	US		Jarold Gading &	
	Man amb Cort van 121003		James O Wilso	n '	

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LAW OFFICE OF ALBERT

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US04/23099

This opinio which is the	language, this opinion has been established on the basis of the international application in the language in which it therwise indicated under this item. In has been established on the basis of a translation from the original language into the following language language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)). In succloside and/or amino acid sequence disclosed in the international application and necessary to the claimed
This opinio which is the	n has been established on the basis of a translation from the original language into the following language, language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)). Incleotide and/or antipo acid sequence disclosed in the international application and necessary to the claimed
2 With would to any	anclectide and/or amino acid sequence disclosed in the international application and necessary to the claimed
	nion has been established on the basis of:
a. type of mal	erial erial
a seq	uence listing
table	(s) related to the sequence listing
b. format of r	naterial ·
in w	itten format
in co	mputer readable form
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	nined in international application as filed.
1	together with the international application in computer readable form.
[furni	shed subsequently to this Authority for the purposes of search.
6	i, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed and, the required statements that the information in the subsequent or additional copies is identical to that in the assistance or some some statements are filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comm	ents:

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WRITTEN OPINION OF	THE
PROPERTY AND PRADCHING	

International application No.

WRITTEN OPINION OF INTERNATIONAL SEARCHING	PCT/US04/23099						
Box No. V Reasoned statement under Rule 43 bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement							
1. Statement							
Novelty (N)	Claims 5.8-13		YES				
Hovely (14)	Claims 1-4.6.7		NO				
			YES				
Inventive step (IS)	Claims <u>1-4.6.7</u>		NO				
	Claims <u>5.8-13</u>						
	Claims 1-13	·	YES				
Industrial applicability (IA)	Ciaims 1-15		NO				
	Ciamis (tong						
2. Citations and explanations:		•	•				
Please See Continuation Sheet			·				
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WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International	application	No.
PCT/TIS04/2	3099	

Supplemental Box In case the space in any of the preceding boxes is not sufficient.

V. 2. Citations and Explanations:

Claims 5, 8-13 meet the criteria set out in PCT Article 33(2), because the prior art does not explicitly teach these compounds.

Claims 1-4, 6,7 meet the criteria set out in PCT Article 33(3), thus having an inventive step.

Claims 1-13 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can

be made or sued in industry.

Claims 1-4, 6, 7 do not meet the criteria set out in PCT Article 33(2), because James et al. (US 5,849,720) teach these compounds... James et al. teach a composition comprising an effective amount of orally administered glucan, that is 1,3-1, 6 or 1,3-1, 4 mixed linkages that is capable of enhancing efficacy of antibodies (see column 4, lines 54-64). James et al. teach the use of said composition paired with a pharmaceutically acceptable carrier (see column 5, example 1). James et al. teach glucan derived from yeast, bacteria, fungi, and plants (column 1, lines 13-15). James et al. teach the glucan to be of a high molecular weight ranging from 10,000 to 500,000 daltons (column 4, lines 23-25), which is stable to heat treatment (see Examples 1 and 2, column 5 and 6).

Claims 5, 8-13 do not meet the critera set out in PCT Article 33(3), thus lacking an inventive step in view James et al (US 5,849,720), Dorothee Herlyn (US 5,130,127), Yan et al. ("Beta-glucan, a "specific" biologic response modifier that uses antibodies to target tumors for cytotoxic recognition by lenkocyte complement receptor Type 3," Journal of immunology, 1999, Vol. 163, pp. 3045-3052), Dante J. Marciani (US 6,573,245), Choever et al. (US 6,664,370), Chu et al. (Pub No. 2004/0109857), and Lane et al. (Pub No. 2003/0180254).

As discussed above, James et al teach the limitations of claims 1-4. James et al. does not teach the limitations found in claims 5 and 8-13 as stated above. Dorothee Hertyn teaches a monoclonal tumor-binding antibody against cancer (column 1, lines 11-55), which is capable of activating complement (column 3, lines 40-45). Dorothee Herlyn teaches an antibody capable of activating the antibody dependent cell-mediated cytotoxicity (column 2, lines 25-30). Additionally, Dorothee Herlyn teaches the cancer to be melanoma or colon cancer (column 3, lines 55-57, claims 10 and 11).

As relating to claim 74 and 75, Yau et al. teach the antibody directed to a peptide, protein, RNA ,DNA or plasmid (page 12, middle paragraph, and page 14, last paragraph), and specifically, to ganglioside GD2 (page 12, middle paragraph).

As relating to claim 76, Chu et al. teach the antigen to be CD20 (page 15, paragraph 96 and table 4). As relating to claim 77, Cheever et al. teach the antigen to be HER-2/neu (column 14, lines 47-57).

As relating to claim 78, Lane et al. teach the antigen to be CD25 (page 2, paragraph 25, and page 12, paragraph 133).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to prepare the above taught composition in an effective amount as taught by the applicant having the above-cited references before him. It is well known in the art that glucan works by activating the immune system in response to a myriad of factors, including many types of foreign cells and intigens-viruses, bacteria, and various types of cancer. Specifically, glucan mimics the natural physiologic response to an infectious challenge by enhancing the balanced, endogenous release of cytokines (James et al.). By considering the teaching of James et al. and Dorothec Herlyn, it would lead one skilled in the art to have a reasonable expectation of success in combining the method for producing high molecular weight, soluble glucan polymers taught by James et al. with the teachings of Dorothee Herlyn, Marciani et al, Yan et al., Chu et al., Cheever et al, and Lane et al. to treat infectious and autoimmune diseases, including enhancing efficacy of antibodies against many types of cancer. One skilled in the art would be motivated to combine these two trachings to obtain a less

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WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US04/23099

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evasive, more convenient cancer fighting regiment that included oral administration of tumor fighting agents, and thus overcome was once a significant impediment in the art.
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